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# Some problems about the uncountable Specker phenomenon (Interplay between large cardinals and small cardinals)

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# Some problems about the uncountable Specker phenomenon.

Jun Nakamura

## 1 Introduction

We are motivated from [3]. We find a mistake in [3] and improve it partially. The uncountable Specker phenomenon in the commutative case was studied around 1955. J. Łoś and E.C.Zeeman [8] independently showed that  $\mathbb{Z}^\kappa$  exhibits the Specker phenomenon if  $\kappa$  is less than the least measurable cardinal. There is a similar result in the non-commutative case. S.Shelah and K.Eda [6] showed that the unrestricted free product  $\varinjlim (*_{i \in X} G_i, p_{XY} : X \subseteq Y \subseteq I)$  exhibits the Specker phenomenon if the cardinality of the index set  $I$  is less than the least measurable cardinal. Some problems occur from the non-commutative case and we investigate them.

## 2 Definitions and Basics

**Definition 2.1.** Let  $G_i$  ( $i \in I$ ) be groups s.t  $G_i \cap G_j = \{e\}$  for any  $i \neq j \in I$ . we call elements of  $\bigcup_{i \in I} G_i \setminus \{e\}$  letters. A word  $W$  is a function

$$W : \overline{W} \rightarrow \bigcup_{i \in I} G_i \setminus \{e\} \quad \overline{W} \text{ is a linearly ordered set and } \{\alpha \in \overline{W} \mid W(\alpha) \in G_i\} \text{ is finite for any } i \in I.$$

The class of all words is denoted by  $\mathcal{W}(G_i : i \in I)$  (abbreviated by  $\mathcal{W}$ ). In case the cardinality of  $\overline{W}$  is countable, we say that  $W$  is a  $\sigma$ -word.

**Definition 2.2.**  $U$  and  $V$  are isomorphic, which is denoted by  $U \equiv V$ , if there exists an order isomorphism  $\varphi : \overline{U} \rightarrow \overline{V}$  s.t  $\forall \alpha \in \overline{U}$  ( $U(\alpha) = V(\varphi(\alpha))$ ). It is easily seen that  $\mathcal{W}$  becomes a set under this identification.

**Definition 2.3.** For a subset  $X \subseteq I$ , the restricted word  $W_X$  of  $W$  is given by the function

$$W_X : \overline{W}_X \rightarrow \bigcup_{i \in I} G_i \quad \text{where } \overline{W}_X = \{\alpha \in \overline{W} \mid W(\alpha) \in \bigcup_{i \in X} G_i\} \quad \text{and}$$

$W_X(\alpha) = W(\alpha)$  for all  $\alpha \in \overline{W}_X$ . Hence  $W_X \in \mathcal{W}$ . If  $X$  is finite, then we can regard  $W_X$  as an element of the free product  $*_{i \in X} G_i$ .

**Definition 2.4.**  $U$  and  $V$  are equivalent, which is denoted by  $U \sim V$ , if  $U_F = V_F$  for all  $F \subset I$  where we regard  $U_F$  and  $V_F$  as elements of the free product  $*_{i \in F} G_i$ .

So, " $U_F = V_F$ " means that they are equal in the sense of the free product  $*_{i \in F} G_i$ .

Let  $[W]$  be the equivalent class of a word  $W$ . The composition of two words and the inverse of a word are defined naturally. Thus  $\mathcal{W}/\sim = \{[W] \mid W \in \mathcal{W}\}$  becomes a group.

**Definition 2.5.**  $\mathbf{x}_{i \in I} G_i$  is the group  $\mathcal{W}(G_i : i \in I)/\sim$ . Clearly, if  $I$  is finite, then  $\mathbf{x}_{i \in I} G_i$  is isomorphic to the free product  $*_{i \in I} G_i$ .

**Definition 2.6.**  $W$  is reduced if  $W \equiv UXV$  implies  $[X] \neq e$  for any non-empty word  $X$  where  $e$  is the identity, and for any contiguous elements  $\alpha$  and  $\beta$  of  $\overline{W}$ , it never occurs that  $W(\alpha)$  and  $W(\beta)$  belong to the same  $G_i$ .

**Definition 2.7.**  $l_i(W)$  is the cardinality of  $\{\alpha \in X \mid X(\alpha) \in G_i\}$  where  $X$  is the reduced word of  $W$ .

**Theorem 2.1.** ([1] Theorem1.4.) For any word  $W$ , there exists a reduced word  $V$  such that  $[W] = [V]$  and  $V$  is unique up to isomorphism.

**Proposition 2.1.** ([1] Proposition1.9.) If  $g_\lambda (\lambda \in \Lambda)$  are elements of  $\mathbf{x}_{i \in I} G_i$  such that  $\{\lambda \in \Lambda \mid l_i(g_\lambda) \neq 0\}$  are finite for all  $i \in I$ , then there exists a natural homomorphism  $\varphi : \mathbf{x}_{\lambda \in \Lambda} \mathbb{Z}_\lambda \rightarrow \mathbf{x}_{i \in I} G_i$  via  $\delta_\lambda \mapsto g_\lambda (\lambda \in \Lambda)$  where  $\mathbb{Z}_\lambda (\lambda \in \Lambda)$  are copies of the integer group and  $\delta_\lambda$  is the 1 of  $\mathbb{Z}_\lambda$ .

### 3 The non-commutative uncountable Specker phenomenon

**Theorem 3.1.** (S.Shelah and K.Eda [6]) Let  $S$  be a  $n$ -slender group. For any homomorphism  $h : \varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \subseteq I) \rightarrow S$ , there exist  $\omega_1$ -complete ultrafilters  $\mathcal{U}_1, \dots, \mathcal{U}_n$  on  $I$  such that  $h = \overline{h} \circ p_{u_1 \cup \dots \cup u_n}$  for any  $u_1 \in \mathcal{U}_1, \dots, u_n \in \mathcal{U}_n$ . Moreover, if the cardinality of  $I$  is less than the least measurable cardinal, then  $h$  factors through some finitely generated free group.

$$\begin{array}{ccc}
\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) & \xrightarrow{\quad h \quad} & S \\
\downarrow p_{u_1 \cup \dots \cup u_n} & \nearrow \exists \bar{h} & \\
\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in u_1 \cup \dots \cup u_n) & & 
\end{array}$$

Let  $\mathcal{F} = \{X | \exists u_1 \in \mathcal{U}_1 \dots \exists u_n \in \mathcal{U}_n (\bigcup_{i \leq n} u_i \subseteq X)\}$ . It becomes an ultrafilter on  $I$ . We introduce an equivalence relation  $\sim_{\mathcal{F}}$  on  $\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I)$ .  $x \sim_{\mathcal{F}} y$  if and only if there exists  $u \in \mathcal{F}$  such that  $p_u(x) = p_u(y)$ . Then, we get the following diagram.

$$\begin{array}{ccc}
\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) & \xrightarrow{\quad h \quad} & S \\
\downarrow & \nearrow \exists \bar{h} & \\
\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) / \mathcal{F} & & 
\end{array}$$

It is a problem that what kind of group is  $\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) / \mathcal{F}$ . We remark that  $\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) / \mathcal{F}$  could not be equal to  $\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) / \mathcal{U}_1 * \dots * \varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in I) / \mathcal{U}_n$ . For the first step, we consider the case  $n = 1$  and we investigate its cardinality.

**Definition 3.1.** Let  $F, G \in \omega$  with  $|F| = |G|$  and  $e_{FG}$  be the order isomorphism from  $F$  to  $G$ . Then, we naturally regard  $e_{FG}$  as an isomorphism from  $*_{i \in F} \mathbb{Z}_i$  to  $*_{i \in G} \mathbb{Z}_i$ . An element  $x \in \varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \omega)$  is homogeneous if and only if for any  $F, G \in \omega$  with  $|F| = |G|$ ,  $e_{FG}(p_F(x)) = p_G(x)$ .

Let  $H$  be the subgroup consisting of all homogeneous elements.

**Theorem 3.2.** Let  $\kappa$  be a measurable cardinal and  $\mathcal{U}$  be a  $\kappa$ -complete normal ultrafilter on  $\kappa$ . Then,  $\varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \kappa) / \mathcal{U} \simeq H$ .

*Proof.* Let  $\mathcal{U}^n = \{X \in [\kappa]^n | \exists u \in \mathcal{U}([u]^n \subseteq X)\}$  for  $n \geq 2$  and  $x \in \varprojlim(*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \kappa)$ . By the assumption,  $\mathcal{U}^n$  is a  $\kappa$ -complete

ultra filter for any  $n$ . Since  $[\kappa]^n = \bigcup_{W \in *_{i < n} \mathbb{Z}_i} \{F|e_{F_n}(p_F(x)) = W\}$ , there exist  $W_{n,x} \in *_{i < n} \mathbb{Z}_i$  such that  $\{F|e_{F_n}(p_F(x)) = W_{n,x}\} \in \mathcal{U}$ . We define a homomorphism  $h : \varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \kappa) / \mathcal{U} \rightarrow H$  as  $h([x])(n) = W_{n,x}$ . It is easily seen that  $h$  is an isomorphism.  $\square$

**Proposition 3.1.** *the cardinality of  $H$  is  $2^\omega$ . Moreover,  $H$  is not  $n$ -slender.*

To prove it, we need a lemma.

**Definition 3.2.** *Let  $x_n \in \varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \omega)$  for any  $n < \omega$  and  $[x_0, x_1] = x_0 x_1 x_0^{-1} x_1^{-1}$ . We define inductively  $[x_0, \dots, x_n]$  as the following.*

$$[x_0, \dots, x_{n+1}] := [x_0, \dots, x_n] x_{n+1} [x_0, \dots, x_n]^{-1} x_{n+1}^{-1}$$

**Lemma 3.1.** *There exists  $y_n \in H (n < \omega)$  such that  $\forall i < n (y_n(i) = e)$  for any  $n < \omega$ .*

*Proof.* Let  $\delta_i$  be the 1 of  $\mathbb{Z}_i$ . We define  $y_n$  as the following.

$$\begin{aligned} y_n(n) &= [\delta_0, \dots, \delta_{n-1}] \\ y_n(n+1) &= [\delta_0, \dots, \delta_n] [\delta_0, \dots, \delta_{n-1}] [\delta_0, \dots, \delta_{n-2}, \delta_n] \cdots [\delta_1, \dots, \delta_n] \\ &\vdots = \vdots \end{aligned}$$

We give a more precise definition. Let  $A_{n+k,l} = \{f \in {}^l(n+k) \mid f \text{ is order preserving}\}$  with  $n \leq l \leq n+k$ .  $A_{n+k,l} = \{f_i \mid i < l\} (i < j \rightarrow f_i < f_j)$  is linear ordered by the lexicographical order.

$$\begin{aligned} \prod_{f \in A_{n+k,l}} [\delta_{f(0)}, \dots, \delta_{f(l-1)}] &:= [\delta_{f_0(0)}, \dots, \delta_{f_0(l-1)}] \cdots [\delta_{f_{l-1}(0)}, \dots, \delta_{f_{l-1}(l-1)}] \\ y_n(n+k) &= \prod_{f \in A_{n+k,n+k}} [\delta_{f(0)}, \dots, \delta_{f(l-1)}] \cdots \prod_{f \in A_{n+k,n}} [\delta_{f(0)}, \dots, \delta_{f(l-1)}] \end{aligned}$$

Clearly, these are desired elements.  $\square$

*Proof of Propostion 3.1.* Let  $y_n (n < \omega)$  be as Lemma 3.1. There exists an homomorphism  $h : \varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \omega) \rightarrow \varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \omega)$  which maps  $\delta_n$  to  $y_n$  for any  $n < \omega$ . Clearly, the image of  $h$  is contained by  $H$ . Therefore,  $H$  is not  $n$ -slender. By Lemma 2.6 in [4], we can conclude  $|H| = 2^\omega$ .  $\square$

**Proposition 3.2.**  $H^* = \{W \in \mathfrak{x}_{n < \omega} \mathbb{Z}_n \mid W \text{ is homogeneous}\}$  is  $n$ -slender.

*Proof.* Firstly, we claim that  $W \in H^* \setminus \{e\}$  implies  $l_i(W) \neq 0$  for any  $i < \omega$ . Suppose the negation. Let  $n$  be the least natural number such that  $W_{\{0, \dots, n-1\}} \neq e$  and take  $i < \omega$  with  $l_i(W) = 0$ . If  $i < n$ , then  $W_{\{0, \dots, n-1\}} = W_{n \setminus \{i\}}$ . Since  $W$  is homogeneous,  $e_{n \setminus \{i\}n-1}(W_{n \setminus \{i\}}) = W_{\{0, \dots, n-2\}} \neq e$ . It is a contradiction to the minimality of  $n$ . If  $n \leq i$ , we can deduce a contradiction as well. Now, we show the  $n$ -slenderness of  $H^*$ . Assume not, then there exists a homomorphism  $h : \mathfrak{x}_{n < \omega} \mathbb{Z}_n \rightarrow H^*$  such that  $h(\delta_n) \neq e$  for all  $n < \omega$ . By theorem 2.3 in [2], there exists a standard homomorphism  $\bar{h}$  and  $u \in \mathfrak{x}_{n < \omega} \mathbb{Z}_n$  such that  $h = u\bar{h}u^{-1}$ . Because  $\{n \mid l_0(\bar{h}(\delta_n)) \neq 0\}$  is finite, we can take  $N$  with  $l_0(\bar{h}(\delta_N)) = 0$ . On the other hand,  $\bar{h}(\delta_N)$  is a non-trivial homogeneous word which is a contradiction.  $\square$

## 4 Problems

**Question 4.1.** What is the cardinality of  $\varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \kappa) / \mathcal{U}$  when there are only finitely  $n$  such that  $\mathcal{U}^n$  is an ultrafilter.

In the proof of theorem 3.2, the fact  $\mathcal{U}^n$  is a  $\sigma$ -complete ultrafilter for all  $n$  is essential. It is clear that  $\mathcal{U}^{n+1}$  is an ultrafilter implies  $\mathcal{U}^n$  is so. Therefore, the case there are only finitely  $n$  such that  $\mathcal{U}^n$  is an ultrafilter is left. We conjecture  $|\varprojlim (*_{i \in X} \mathbb{Z}_i, p_{XY} : X \subseteq Y \in \kappa) / \mathcal{U}| \geq \kappa$  in the case.

**Question 4.2.** Is the cardinality of  $H^*$  countable or uncountable ?

Unfortunately, we find that the proof of [3] about this problem is wrong and we can not improve it yet.

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